

# EnviroLoan Pilot Loan Program Compliance Spot Check

Applicant \_\_\_\_\_  
Company
Contact Name
Phone #

Regulatory Agency \_\_\_\_\_  
Agency
Contact Name
Phone #

<b>SPOTCHECK QUESTIONS:</b>			
<b>The TRP will contact the regulatory agency representative who inspects the applicant's facility and ask the following questions.</b>			
	Yes	No	Comments
Have there been any formal actions filed against the applicant?			
If yes, what type of formal actions have been filed?			
Criminal?			
Civil?			
Administrative?			
Does your department have any existing (or proposed) criminal environmental litigation against the applicant?			
Does your department have any current civil litigation against the applicant?			
	1 yr	3 yr	Comments
How many times in the past year and past three years has the applicant's facility been inspected?			
How many NOV's have you issued over the past year and three years?			

	On-Time Response	Comments
How often, within the time frame stated in the NOV, did the applicant respond on-time to the violation?		
	Tardy Response	Comments
How often were the applicant's responses to NOVs tardy?	< 30 days  > 30 days	
<b>The TRP will request copies of each NOV letter that has been received by the applicant. Based on the letter(s) for the NOV(s):</b>		
	Minor	Comments
How many violations could be considered minor (i.e., paperwork)?		
	Major	Comments
How many violations could be considered major (i.e., effluent)?		
	Repeat	Comments
How many were repeat violations?		
<b>OTHER COMMENTS</b>		

TRP Reviewer \_\_\_\_\_ Name \_\_\_\_\_ Signature \_\_\_\_\_ Date \_\_\_\_\_